

Retirement Educator

Author, and Financial Services Provider

Dick Van Dyke, Financial, Ltd. Incorporated: December 7th 2004

Disclosure of any Disciplinary Actions: **None**

Background

The President of Dick Van Dyke Financial, Ltd. and the person offering financial services is Richard L Van Dyke. Born in 1956, Dick Van Dyke for 22 years he was the President and initial founder of Dick Van Dyke Appliance World a regional chain of appliance stores in the midwest and is presently President of Dick Van Dyke Financial, Ltd., an insurance and financial services corporation (2004 to present).

Dick Van Dyke is a well known and reputable businessman. For over 30 years Dick has been an investor on a personal level, while owning and operating well known successful businesses such as Dick Van Dyke Appliance World. Dick is a known educator on retirement issues. He specializes in retirement and pre-retirement concerns ranging from income during retirement, estate planning, to tax advantaged strategies. Educational web based and in person workshops are available by appointment to pre-retirees and retirees at no charge throughout the year attendance may be limited. Dick uses his past business experience and continuing education in financial matters by assisting present and future retirees to reach their full potential in preserving their nest egg, increasing their income, and rebalancing their assets for safety and growth while positioning their income and assets for optimal tax efficiency.

Dick Van Dyke's successful financial services practice is based on reputation, referrals, knowledge, experience and results.

How We Operate (fees)

The financial services provider Dick Van Dyke is typically paid an administrative fee by the companies he recommends, this compensation is for him doing the suitability analysis and paperwork to complete the implementation of the financial product provided, with no direct out of pocket cost to his client.

For securities, clients are free to select any investment advisor they prefer based on their investment objectives. If they prefer Dick to recommend a an investment adviser based upon his opinion, they will receive a recommendation based on the advisors fees, skill, reputation, dependability and compatibility with them and not upon any financial agreement between the recommended adviser and Dick Van Dyke.

General Information.

Dick does not earn sales commissions or fees by selling, advising on or managing securities. He does, however, earn commissions as mandated by state law on insurance products. Dick does not compensate anyone, either directly or indirectly for client referrals.

Firm Stats

- Approximately one hundred Clients financial services including estate, income, and annuity.
- Approximately \$20,000,000 to date in annuity allocations towards income and estate planning.
- Team: One Office Manager, Two Receptionists, Two Research assistants, One Licensed Insurance Agent & One Investment Advisor Representative, One CPA

- Hours of Operation: Monday through Friday 9:00 am to 5:00 pm
- Offices: Main Branch Springfield, IL, Oak Brook, IL Branch and St. Louis, MO Branch